

UNITED STATES BANKRUPTCY COURT
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)
(Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HNH INVESTMENTS LIMITED

**The Hongkong and Shanghai Banking
Corporation Limited**

Name of Transferee

Name of Transferor

Name and Address where notices to transferee should be sent: **HNH Investments Limited, Unit B, 1/F, Kowloon Centre, 39 Ashley Road, Tsim Sha Tsui, Kowloon, Hong Kong SAR**

Court Claim # (if known): **56669**

Amount of Claim: See attached Evidence of Partial Transfer of Claim

Phone: **+852 23762117**

Last Four Digits of Acct. #: **N/A**

Date Claim Filed: 29 October 2009

Name and Address where transferee payments should be sent (if different from above):

Phone:

Last Four Digits of Acct. #:

Phone: **+852 3604 7640**

Last Four Digits of Acct. #: **6253**

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

HNH INVESTMENTS LIMITED

By: _____

Date: _____

9th August, 2021

Transferee/Transferee's Agent
Authorized Signature(s)

Name: **HNH INVESTMENTS LIMITED**

Title: Director

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

E2.275174

RESTRICTED

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO: Clerk, United States Bankruptcy Court, Southern District of New York

THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED (“**Transferor**”), does hereby certify that, as of 9th August, 2021, it has unconditionally and irrevocably transferred and assigned to **HNH INVESTMENTS LIMITED** (“**Transferee**”), to the extent specified below (the “**Transferred Claim**”), Transferor’s right, title and interest in and to Proof of Claim Number **56669** filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the “**Claim**”).

<u>ISIN</u>	<u>Issuer</u>	<u>Guarantor</u>	<u>Principal Amount Transferred</u>
XS0302371538	Lehman Brothers Treasury Co BV	LEHMAN BROTHERS HOLDINGS INC	USD3,000,000.00

For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 9th of August, 2021.

**THE HONGKONG AND SHANGHAI
BANKING CORPORATION LIMITED**

By: For and on behalf of
The Hongkong and Shanghai Banking Corporation Limited

Name: Terry Lam

Title: Terry Lam

Tel.: Head of Private Banking Operations
Private Banking Division

HNH INVESTMENTS LIMITED

By: HNH INVESTMENTS LIMITED

Name: Aron Hari Harilela

Title: Director

Tel.: 23763532

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